

# CHRISTOPHER MARQUIS

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## EMPLOYMENT

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### UNIVERSITY OF CAMBRIDGE

- Sinyi Professor of Chinese Management, Judge Business School, 2022-present
- Fellow, Jesus College, 2022-present

### CORNELL UNIVERSITY

- Samuel C. Johnson Professor in Sustainable Global Enterprise; Professor of Management and Organizations, SC Johnson College of Business, 2015-2022
  - Member of Field of Sociology, 2015-2022
  - Faculty Affiliate, Center for the Study of Economy and Society, 2016-2022
- Visiting Professor of Management and Organizations, 2014-2015

### HARVARD UNIVERSITY

- Faculty Affiliate, Social Innovation and Change Initiative (SICI), Harvard Kennedy School, 2018-present
- Fairbank Center for Chinese Studies, Faculty Affiliate, 2012-2015; Associate in Research, 2018-2023
- Visiting Professor of Social Innovation and Public Policy, Harvard Kennedy School, 2017-2018
- Associate Professor of Business Administration, Harvard Business School, 2010-2015
  - Hauser Center for Non-Profit Organizations, Faculty Affiliate, 2010-2015
  - Harvard University Center for the Environment, Faculty Affiliate, 2014-2015
  - Marvin Bower Fellow, 2011-2013
  - Global Research Fellow (Shanghai), 2012
- Assistant Professor of Business Administration, 2005-2010

### VISITING AND TEMPORARY POSITIONS

- University of Electronic Science and Technology of China, 2017-2022 (Distinguished Special Appointment Professor; Chair of Center for Philanthropy and Social Enterprise)
- Peking University, 2015-2017 (Distinguished Special Appointment Professor), 2011 (Spring)
- Shanghai Jiaotong University, 2014-2017 (Distinguished Special Appointment Professor), 2013 (Summer)
- Fudan University, 2012 (Spring and Summer)
- Hong Kong University of Science and Technology, 2009 (Summer), 2010 (Summer), 2011 (Summer)

### J.P. MORGAN CHASE (formerly Bank One Corporation)

- Vice President, Technology Manager, Corporate Operations Unit, 1999-2000
- Vice President, Staffing & Training Manager, Corporate Operations Unit, 1997-1999
- Assistant Vice President, Strategic Projects Manager, Corporate Operations Unit, 1996-1997

### PNC BANK CORPORATION

- Project Manager, 1994-1996

## EDUCATION

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### UNIVERSITY OF MICHIGAN

- PhD in Sociology & Business Administration, 2005
- MA in Sociology, 2002

### UNIVERSITY OF PITTSBURGH

- MBA in Finance, 1994
- MA in History (focus on Chinese history), 1993

## UNIVERSITY OF NOTRE DAME

- BA in History with honors, interdisciplinary certificate in Asian Studies, 1992

## HONORS & AWARDS

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- Best New Management Books of 2025, Thinkers50 (for *The Profiteers*)
- Thinkers50 Radar List that “spotlights thinkers with the ideas most likely to shape the future,” 2025
- Finalist, Best Responsible Research Paper Award, OMT Division of the Academy of Management, 2025
- Top 24 Business Books of 2024, Next Big Idea Club (for *The Profiteers*)
- Top Business Books in May, 2024, *Financial Times* (for *The Profiteers*)
- Must-Read Books, May 2024, Next Big Idea Club (for *The Profiteers*)
- Finalist, George R. Terry Award for the book that “made the most outstanding contribution to the global advancement of management knowledge during the last two years” from the Academy of Management, 2023 (for *Mao and Markets*)
- Gold Medal, Axiom Business Book Awards 2023, International Business/Globalization Category (for *Mao and Markets*)
- *Financial Times* list of books to read in 2023 (for *Mao and Markets*)
- *Financial Times*, Best Books of 2022 (for *Mao and Markets*)
- Runner up, *Financial Times* Responsible Business Education Awards, 2021 (for *Better Business*)
- “Best Paper” Designation “How the Past Matters For Organizations”, 2022 OMT Division, Academy of Management Meeting
- Winner, Responsible Research in Management Award, Community for Responsible Research in Business and Management (RRBM), 2021 (for *Better Business*)
- Winner, International Humanistic Management Association Book Award, 2021 (for *Better Business*)
- Runner up for the Best Paper on Environmental and Social Practices, 2021 OMT Division, Academy of Management Meeting (for “Producing Masks Amid COVID-19? How A Pandemic Imprint Affects Firm's New Medical Production.”)
- Gold Medal, Axiom Business Book Awards 2021, Business Ethics Category (for *Better Business*)
- Finalist, Best Business Book of 2020, Porchlight Books (for *Better Business*), Runner-up in Management & Workplace Culture category, 2020
- Finalist, Responsible Research in Management Award, Community for Responsible Research in Business and Management (RRBM), 2019
- International Educator Award, State Administration of Foreign Expert Affairs, Government of China, 2018
- Emerald Citation of Excellence Award, for Marquis and Qian (2014) *Organization Science*, 2017
- Finalist, Best International Paper Award, OMT Division of the Academy of Management, 2017
- United States Association for Small Business and Entrepreneurship (USASBE) Conference Best Empirical Paper Award for “Does Globalization Help Inclusive Growth? An Opportunity Structure Perspective”, 2017
- Elected to Macro-Organizational Behavior Society (MOBS), 2015
- *China Policy Review* article “Creating Shared Value in China,” selected for 2014 *China Economic Yearbook* published by the Chinese State Council Research and Development Center.
- Outstanding Reviewer Award, *Organization Science*, 2014
- Batten Honorary Lectureship on Entrepreneurship, Sponsored by the Batten Institute at the Darden School of Business and Entrepreneurship Division of the Academy of Management, 2014
- Named to list of top “50 Global People” by Portrait Magazine, China (人物杂志), 2014
- Finalist, Aspen Institute Faculty Pioneer Award, 2013
- Named High-level Overseas Expert, State Administration of Foreign Expert Affairs, Government of China, 2012
- HBS Case Study “Social Innovation at salesforce.com” used for team case analysis finals in National MBA Human Capital Case Competition, 2012
- Finalist, Aspen Institute Faculty Pioneer Award, 2010

- Runner-Up, Academy of Management, OMT Division, Best Published Paper in Organization and Management Theory in 2009
- Academy of Management, William H. Newman Award for best paper based on a dissertation, 2006
- Academy of Management, OMT Division, Louis R. Pondy Award for best paper based on a dissertation, 2006
- State Farm Foundation Doctoral Dissertation Award, 2004
- Finalist, INFORMS/Organization Science Dissertation Proposal Competition, 2004
- American Sociological Association, OOW Division, James D. Thompson Award for best graduate student paper, 2003

## CORNELL UNIVERSITY

- Faculty Research Award, Johnson Graduate School of Management, 2015-16

## HARVARD BUSINESS SCHOOL

- Finalist, Wyss Award for Excellence in Doctoral Mentoring, Harvard Business School, 2009, 2010

## UNIVERSITY OF MICHIGAN

- Distinguished Alumni Award (PhD), University of Michigan Ross School of Business, 2015
- Horace H. Rackham Dissertation Award, Honorable Mention (university-wide competition), 2005
- Horace H. Rackham Predoctoral Fellowship (full year fellowship, university-wide competition), 2004
- Dykstra Award for Teaching Excellence (full year fellowship, Business School), 2003
- Best Graduate Student Paper Award (Sociology Department), 2003

## RESEARCH

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### BOOKS

- Marquis, Christopher. 2024. *The Profiteers: How Business Privatizes Profit and Socializes Cost*. New York: PublicAffairs.
  - *Financial Times* Top Business Books in May, 2024
  - Thinkers50, Best New Management Books of 2025
  - Next Big Idea Club, Must-Read Books, May 2024
  - Next Big Idea Club, Top 24 Business Books of 2024
- Marquis, Christopher and Kunyuan Qiao. 2022. *Mao and Markets: The Communist Roots of Chinese Enterprise*. New Haven and London: Yale University Press.
  - Finalist, George R. Terry Award for “made the most outstanding contribution to the global advancement of management knowledge during the last two years” from the Academy of Management, 2023
  - Gold Medal, Axiom Business Book Awards, International Business/Globalization Category, 2023
  - *Financial Times* list of books to read in 2023
  - *Financial Times* Best Books of 2022
- Marquis, Christopher. 2020. *Better Business: How the B Corp Movement is Remaking Capitalism*. New Haven and London: Yale University Press.
  - Runner up, *Financial Times* Responsible Business Education Awards, 2021
  - Winner, Responsible Research in Management Award, 2020, Community for Responsible Research in Business and Management (RRBM)
  - Gold Medal, Axiom Business Book Awards, Business Ethics Category, 2020
  - Finalist, Best Business Book of the 2020, Porchlight Books (formerly 800-CEO-READS); Runner-up in Management & Workplace Culture category
  - *Financial Times* Top Business Books in October, 2020
  - Porchlight Books (formerly 800-CEO-READS), Books to Watch in September, 2020
  - *Spear's Magazine* (UK) list of 4 best reads out in January, 2021
- Marquis, Christopher, Michael Lounsbury and Royston Greenwood (Eds.). 2011 *Communities and Organizations*. Research in the Sociology of Organizations Volume 33 Bingley, U.K.: Emerald Group Publishing Limited.

### REFEREED ARTICLES

- Marquis, Christopher and Kunyuan Qiao. 2025. "How the Past Matters for Organizations." *Academy of Management Review*, 50(2), 272-298.
- Liu, Yang and Christopher Marquis. 2025. "Shifting Gears amid COVID-19: Information Availability, Pandemic Imprints and Firms' PPE Production." *Journal of Management Studies*, 62(4) 1569-1598.
- Yichen Wang and Christopher Marquis. 2025. "Does Product Market Competition Promote or Reduce Firms' Corporate Social Responsibility Behavior? The Contingent Effect of Stakeholder Attention." *Journal of Business Ethics*.
- Marquis, Christopher, András Tilcsik and Ying Zhang. 2024. "Attractiveness and Attainment: Status, Beauty, and Jobs in China and the United States." *American Journal of Sociology*. 129(6), 1720-1762.
- Marquis, Christopher and Kunyuan Qiao. 2020. "Waking from Mao's Dream: Communist Ideological Imprinting and the Internationalization of Entrepreneurial Ventures in China." *Administrative Science Quarterly*, 65(3)795-830.
- Wang, Danqing, Fei Du, and Christopher Marquis. 2019. "Defending Mao's Dream: How Politicians' Ideological Imprinting Affects Firms' Political Appointment." *Academy of Management Journal*, 62:4, 1111-1136.
- Marquis, Christopher and Yanhua Bird. 2018. "The Paradox of Responsive Authoritarianism: Civil Society, Local Governments and Environmental Penalties in China." *Organization Science*, 29:5, 948-968.
- Liang, Hao, Christopher Marquis, Luc Renneboog, and Sunny Li Sun. 2018. "Future-Time Framing: The Effect of Language on Corporate Future Orientation." *Organization Science*, 29:6, 1093-1111.
- Marquis, Christopher, Lynn Yin and Dongning Yang. 2017. "State-Mediated Globalization Processes and the Adoption of Sustainability Reporting in China." *Management and Organization Review*. 13:1, 167-191.
- Luo, Xiaowei Rose, Jianjun Zhang and Christopher Marquis. 2016. "Mobilization in the Internet Age: Internet Activism and Corporate Response." *Academy of Management Journal*. 59, No. 6, 2045-2068.
- Marquis, Christopher and András Tilcsik. 2016. "Institutional Equivalence: How Industry and Community Peers Influence Corporate Philanthropy." *Organization Science*, 27:5, 1325-1341.
- Zhang, Jianjun, Christopher Marquis and Kunyuan Qiao. 2016. "Do Political Connections Buffer Firms from or Bind Firms to the Government? A Study of Corporate Charitable Donations of Chinese Firms" *Organization Science*, 27:5, 1307-1324.
- Marquis, Christopher, Michael Toffel and Yanhua Zhou. 2016. "Scrutiny, Norms, and Selective Disclosure: A Global Study of Greenwashing." *Organization Science*, 27: No. 2, 483-504.
- Marquis, Christopher, Susan Jackson and Yuan Li. 2015. "Building Sustainable Organizations in China." *Management and Organization Review*, 11: 427-440.
- Marquis, Christopher and Mia Raynard. 2015. "Institutional Strategies in Emerging Markets." *Academy of Management Annals*, 9: No. 1, 291-335.
- Sullivan, Bilian Ni, Yi Tang and Christopher Marquis. 2014. "Persistently Learning: How Small-world Network Imprints and Organizational Adaptation Affect Firm Performance." *Strategic Organization*, 12: 180-199.
- Marquis, Christopher and Cuili Qian. 2014. "Corporate Social Responsibility Reporting in China: Symbol or Substance? *Organization Science*, 25: 127-148.
- Marquis, Christopher and András Tilcsik. 2013. "Imprinting: Toward a Multi-Level Theory." *Academy of Management Annals*, 7: 195-245.
- Marquis, Christopher and Matthew Lee. 2013. "Who is Governing Whom? Executives, Governance and the Structure of Generosity in Large US Firms." *Strategic Management Journal*, 34: 483-497.
- Tilcsik, András and Christopher Marquis. 2013. "Punctuated Generosity: How Mega-events and Natural Disasters Affect Corporate Philanthropy in U.S. Communities," *Administrative Science Quarterly*, 58: 111-148.
- Marquis, Christopher, Gerald F. Davis and Mary Ann Glynn. 2013. "Golfing Alone? Corporations, Elites and Nonprofit Growth in 100 American Communities." *Organization Science*, 24: 39-57.
- Marquis, Christopher, Douglas Guthrie and John Almandoz. 2012. "State Activism and the Hidden Incentives Behind Bank Acquisitions" *Social Science Research*, 41: 130-145.
- Marquis, Christopher, Jianjun Zhang and Yanhua Zhou. 2011. "Regulatory Uncertainty and Corporate Responses to Environmental Protection in China" *California Management Review*, 54: 39-63.
- Marquis, Christopher and Zhi Huang. 2010. "Acquisitions as Exaptation: The Legacy of Founding Institutions in the U.S. Commercial Banking Industry." *Academy of Management Journal*, 53: 1441-1473.
- Marquis, Christopher and Zhi Huang. 2009. "The Contingent Nature of Public Policy and the Growth of U.S. Commercial Banking." *Academy of Management Journal*, 52: 1222-1246. (Runner-Up, Academy of Management, Best Published Paper in Organization and Management Theory in 2009)

- Marquis, Christopher and Julie Battilana. 2009. "Acting Globally but Thinking Locally? The Enduring Influence of Local Communities on Organizations" *Research in Organizational Behavior*, 29: 283-302.
- Marquis, Christopher and Michael Lounsbury. 2007. "Vive La Résistance: Competing Logics and the Consolidation of U.S. Community Banking." *Academy of Management Journal*, 50: 799-820.
- Marquis, Christopher, Mary Ann Glynn and Gerald F. Davis. 2007. "Community Isomorphism and Corporate Social Action." *Academy of Management Review*, 32: 925-945.
- Mizruchi, Mark S. and Christopher Marquis. 2006. "Egocentric, Sociocentric, or Dyadic? Identifying the Appropriate Level of Analysis in the Study of Organizational Networks." *Social Networks*, 28: 187-208.
- Mizruchi, Mark S., Linda B. Stearns and Christopher Marquis. 2006. "The Conditional Nature of Embeddedness: Borrowing by Large U.S. Firms, 1973-1994." *American Sociological Review*, 71: 310-333.
- Davis, Gerald F. and Christopher Marquis. 2005. "Prospects for Organizational Theory in the Early 21st Century: Institutional Fields and Mechanisms." *Organization Science*, 16: 332-343.
- Marquis, Christopher. 2003. "The Pressure of the Past: Network Imprinting in Intercorporate Communities." *Administrative Science Quarterly*, 48: 655-689. (2003 James D. Thompson Award from the American Sociological Association)

## OTHER ACADEMIC PUBLICATIONS

- Marquis, Christopher. 2025. 'Is This a Moment for Strategic Hibernation' *Harvard Business Review*, November-December, pp. 62-71.
- Marquis, Christopher. 2024. "In Defense of Degrowth" *Harvard Business Review*, hbr.org June 11, 2024
- Marquis, Christopher. 2024. "How Regeneration Is Redefining Business" *Stanford Social Innovation Review*, 22(3): 28-36
- Wendy Chen and Christopher Marquis. 2022. "Remaking capitalism: The movement for sustainable business and the future of the corporation." *Management Decision*, 60.11 (2022): 2897-2903.
- Marquis, Christopher. 2020. "The B Corp Movement Goes Big" *Stanford Social Innovation Review*, 18(3): 22-29
- Julie Battilana, Brittany Butler, Marissa Kimsey, Johanna Mair, Christopher Marquis and Christian Seelos. 2019. "Problem, Person and Pathway: A Framework for Social Innovators." In Gerard George, Ted Baker, Paul Tracey and Havovi Joshi (Ed). *Handbook of Inclusive Innovation: The Role of Organizations, Markets and Communities in Social Innovation*. London, UK: Edward Elgar Publishing Limited.
- Marquis, Christopher 《理论化历史进程：对中国管理的启示》，《管理学季刊》，2018年第三期，1-24页（封面文章）。(Marquis, Christopher, Theorizing Historical Processes: Implications for Chinese Management, *Quarterly Journal of Management* (in Chinese), 2018, issue 3, page 1-24 (lead article)).
- Lee, Matthew and Christopher Marquis. 2018 "Large Corporations, Community Social Capital and Social Welfare: Evidence from Organized Community Philanthropy." In Sinziana Dorobantu, Ruth Aguilera, Jiao Luo and Frances Milliken (Ed). *Advances in Strategic Management*.
- Marquis, Christopher, Qi Li and Kunyuan Qiao. 2017 "The Chinese Collectivist Model of Charity," *Stanford Social Innovation Review*, 15(3): 40-47.
- Almundoz, John, Matthew Lee and Christopher Marquis. 2017 "Different Shades of Green: Environment Uncertainty and the Strategies of Hybrid Organizations." In Henrich Greve and Marc-David Seidel (Ed). *Research in the Sociology of Organizations* Bingley, U.K.: Emerald Group Publishing Limited: 31-67.
- Almundoz, John, and Christopher Marquis. 2016 "Drivers of Community Strength: An Institutional Logics Perspective on Geographical and Affiliation Based Communities." In Royston Greenwood et al., (Ed). *The Sage Handbook of Organizational Institutionalism 2nd Edition*. London, UK: Sage Publications, Inc.
- Marquis, Christopher, Ying Zhang and Shiyu Yang. 2015 "Shared Value with Chinese Characteristics: An Interview with Shen Guojun, Founder, President and CEO of China Yintai." *Management Insights (MI)*, (October): 120-129.
- Marquis, Christopher, Yanhua Zhou, and Zoe Yang. 2015 "The Emergence of Subversive Charities in China." *Stanford Social Innovation Review*, 13(4): 42-47.
- Tilcsik, András; Marquis, Christopher. 2014 "Imprinting." In Oxford Bibliographies in Management. Ed. Ricky Griffin. New York: Oxford University Press.
- Marquis, Christopher, and Juan Almundoz. 2014 "Can an "Ethical" Bank Support Guns and Fracking?" *Harvard Business Review*, 92 (April): 123-127.
- Marquis, Christopher and Andrew Park. 2014 "Inside the Buy-one Give-one Model." *Stanford Social Innovation Review*, 12 (1): 28-33.

- Marquis, Christopher, Hongyu Zhang, and Lixuan Zhou. 2013 “China’s Quest to Adopt Electric Vehicles.” *Stanford Social Innovation Review*, 11(2): 52-57.
- Marquis, Christopher and Joshua D. Margolis. 2012 “How Much is Sweat Equity Worth” *Harvard Business Review*, 90 (December): 121-125.
- Marquis, Christopher and Chen Zhang. 2012 “‘Risk Control is in My Blood’-An Interview with Dr. Ling Wen, President and CEO of China Shenhua Energy Company Limited.” *Chinese Management Insights*, (November): 80-87.
- Marquis, Christopher, Michael Lounsbury and Royston Greenwood. 2011 “Communities and Organizations.” *Communities and Organizations. Research in the Sociology of Organizations* edited by Chris Marquis, Michael Lounsbury and Royston Greenwood.
- Marquis, Christopher, Zhi Huang and John Almandoz. 2011 “Explaining the Loss of Community: Historical Transition in the Twentieth Century US Banking Industry.” *Communities and Organizations. Research in the Sociology of Organizations* edited by Chris Marquis, Michael Lounsbury and Royston Greenwood.
- Marquis, Christopher and Gerald F. Davis. 2009. “Organizational Mechanisms Underlying Positive Community Identity and Reputation.” *Exploring Positive Identities and Organizations: Building a Theoretical and Research Foundation*, edited by Laura Morgan Roberts and Jane Dutton.
- Anderson, Peter J. J., Ruth Blatt, Marlys K. Christianson, Adam M. Grant, Christopher Marquis, Eric J. Neuman, Scott Sonenshein, and Kathleen M. Sutcliffe. 2006. “Understanding Mechanisms in Organizational Research.” *Journal of Management Inquiry*, 15: 102-113.
- Glynn, Mary Ann and Christopher Marquis. 2006. “Real Good Names: Institutional Logics as Motives for Organizational Name Changes.” *Identity and the Modern Organization*, edited by Caroline A. Bartel, Steven Blader and Amy Wrzesniewski. Mahwah, NJ: Lawrence Erlbaum Associates Inc.
- Glynn, Mary Ann and Christopher Marquis. 2006. “How Institutional Norms and Individual Preferences Legitimate Organizational Names.” Pp. 223-239 in *Artifacts and Organizations*, edited by Anat Rafaeli and Michael Pratt. Mahwah, NJ: Lawrence Erlbaum Associates Inc.
- Marquis, Christopher. 2006. “Historical Environments, Coordination and Consolidation in the U.S. Banking Industry, 1896-2001.” *Best Paper Proceedings of the Academy of Management* (2006 William H. Newman and Louis R. Pondy Awards for best paper based on a dissertation).
- Marquis, Christopher and Mark Mizruchi. 2006. “Banks.” Pp. 20-22 in *International Encyclopedia of Economic Sociology*, edited by Jens Beckert and Milan Zafirovski. London: Routledge.
- Mizruchi, Mark and Christopher Marquis. 2006. “Interlocking Directorates.” Pp. 375-377 in *International Encyclopedia of Economic Sociology*, edited by Jens Beckert and Milan Zafirovski. London: Routledge.
- Khurana, Rakesh and Christopher Marquis. 2006. “Diagnosing and Dissolving our ‘Translation Gap.’” *Journal of Management Inquiry*, 15: 406-409.
- Davis, Gerald F. and Christopher Marquis. 2005. “The Globalization of Stock Markets and Convergence in Corporate Governance.” Pp. 352-390 in *Economic Sociology of Capitalism*, edited by Victor Nee and Richard Swedberg. Princeton, NJ: Princeton University Press.
- Glynn, Mary Ann and Christopher Marquis. 2004. “When Good Names Go Bad: Organizational Illegitimacy and the Dot-com Collapse.” *Research in the Sociology of Organizations*, 22: 147-170.

## BOOK REVIEWS

- Marquis, Christopher. 2014. Review of Handbook of the Sociology of Finance. By Karin Knorr Cetina, and Alex Preda (eds.). *Administrative Science Quarterly*, 59:
- Marquis, Christopher. 2009. Review of Market Rebels: How Activists Make or Break Radical Innovations by Hayagreeva Rao. *Administrative Science Quarterly*, 54: 543-544.
- Marquis, Christopher. 2008. Review of Decline of the Corporate Community: Network Dynamics of the Dutch Business Elite by Eelke M. Heemskerk. *Administrative Science Quarterly*, 53: 768-769.
- Marquis, Christopher. 2007. Review of An Engine, Not a Camera: How Financial Models Shape Markets by Donald MacKenzie. *Contemporary Sociology*, 36: 251-252.
- Marquis, Christopher. 2005. Review of Nonprofit Trusteeship in Different Contexts by Rikki Abzug and Jeffrey S. Simonoff. *Administrative Science Quarterly*, 50: 314-317.

## TEACHING

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## UNIVERSITY OF CAMBRIDGE

- Leading Sustainable Enterprises (Required MBA Course) – Easter Term 2026
- Business and Sustainable Development (Required MBA Course) – Michaelmas Term 2024
- International Business Studies Trip Required EMBA Course (Lent Term 2023); MBA Elective (Summer Term 2023)
- Business and Social Impact (M. Phil Elective) – Lent Term 2022, 2023
- Seminar in Strategy Process (M. Phil Elective) – Lent Term 2022
- Competitive Strategy in the Digital Age (M. Phil Elective) – Lent Term 2022, 2023
- Climate and Sustainability Stewardship (Custom Executive Education for Boston Consulting Group). Academic Program Director
- ESG Leadership: A Pathway to Business Sustainability (Open Enrollment Executive Education). Academic Program Co-Director

## SCHWARTZMAN SCHOLARS, TSINGHUA UNIVERSITY

- Business for Social Impact – Module 3; 2022, 2023

## CORNELL UNIVERSITY

- Macro Organizational Theory (Doctoral Elective) – Fall Term: 2016, 2020; Spring Term: 2019
- Doing Business In China (Elective MBA Course) – Fall Term: 2020, 2021; Spring Term: 2019; 2020
- China Immersion (Elective MBA Course) – Spring Term: 2021
- Corporate Political Strategy (Doctoral Elective) – Fall Term: 2016
- Creating Shared Value: Entrepreneurial and Corporate Approaches (EMBA Elective) – Fall Term: 2016
- Social Entrepreneurship (Elective MBA Course) – Fall Term: 2016 (x2), 2020, 2021; Spring Term: 2019 (x2), 2020, 2021.
- Negotiations (MBA Elective Course) – Fall Term: 2021; Spring Term: 2020, 2021

## HARVARD UNIVERSITY

- Entrepreneurship and Social Innovation (MPP/MPA Elective, Harvard Kennedy School) – Spring Term: 2017
- Philanthropy and Social Innovation (MPP/MPA Elective, Harvard Kennedy School) – Spring Term: 2017
- Creating Shared Value: Entrepreneurial and Corporate Approaches (MBA Elective, Harvard Business School) – Fall Term: 2009, 2010, 2011, 2012
- Organizational Analysis (Doctoral Elective, Sociology Department and Harvard Business School) – Spring Term: 2007, 2010, 2011, 2012, 2013
- China's Current Challenges (MBA Elective Immersion, Harvard Business School) – Winter Term: 2011, 2013, 2014
- Leadership and Organizational Behavior (Required MBA Course, Harvard Business School) – Fall Term: 2005, 2006, 2007
- Corporate Social Responsibility: Strategies to Create Business and Social Value (Executive Education) – November: 2008, 2009, 2010, 2011, 2012
- Business Leaders as Social Value Creators (Executive Education) – March: 2009, 2010, 2011

## UNIVERSITY OF MICHIGAN

- Behavioral Theory in Management (Required BBA Course, Ross School of Business) - Fall 2003

## COURSE MATERIALS

### Corporate Sustainability & Social Issues in China

- Christopher Marquis and Zhishuang Guan. A Better Community (ABC): Developing the NGO Infrastructure in China. (Harvard Kennedy School Case 2119.0), May, 2018.
- Christopher Marquis and Yen Hsiang Wu. Growing Home: Creating Institutional Change in China. (Harvard Kennedy School Case 2119.0), May, 2018.
- Christopher Marquis and Xinghui Chen. First Respond: The Challenges of Marketing Social Mission in China. (Harvard Kennedy School Case 2103.0), August, 2017.

- Marquis, Christopher and Qi Li. "Beacon Group of Hong Kong: Finding Light in the Shadow Education Industry." (Harvard Business School Case 415-082), June, 2015.
- Marquis, Christopher, Qi Li and Ying Zhang. "Fei Ni Mo Shu (*You are the One*) and the Chinese Employment Market" (Harvard Business School Case 415-081), June, 2015.
- Chris Marquis, Ying Zhang and Shiyu Yang. China Yintai: Developing Shared Value in China." (Harvard Business School Case 415078), May, 2015.
- Chris Marquis, and Qi Li. "Continental Hope Group." (Harvard Business School Case 415050), January 2015.
- Chris Marquis, Zoe Yang, and Juelin Yin. "Fei Cheng Wu Rao (*If You Are the One*): The Media as a Reflection of Society (Harvard Business School Case 414056), February 2014.
- Chris Marquis, Zucheng Zhou, Mo Chen, and Heng Fan. "Building a Community at Semiconductor Manufacturing International Corporation." (Harvard Business School Case 413083), November 2012.
- Chris Marquis, Lynn Yin, and Dongning Yang. "COSCO: Implementing Sustainability." (Harvard Business School Case 412081), November 2011.
- Chris Marquis, Nancy Hua Dai, and Lynn Yin. "Chairman Zhang and Broad Group: Growth Dilemmas." (Harvard Business School Case 412095)
- Chris Marquis, Laura Velez Villa, and Lynn Yin. "China Greentech Initiative (CGTI)." (Harvard Business School Case 412105), February, 2012.
- Chris Marquis and Nancy Dai. "China Environment Fund: Doing Well by Doing Good" (Harvard Business School Case 410142; Teaching Note 411098), May 2011.
- Chris Marquis, Nancy Dai, Dongning Yang, and Hong Wu. "State Grid: Corporate Social Responsibility" (Harvard Business School Case 410141; Teaching Note 412006), July 2011.
- Chris Marquis, G.A. Donovan, and YiKwan Chu. "Swire Beverages: Implementing CSR in China." (Harvard Business School Case 410021; Teaching Note 410129), April 2010.

### Global Corporate Social Responsibility

- Marquis, Christopher, and Matthew Lee. "B Lab: Can it Scale Business as a Force for Good?" (Harvard Business School Case 414-080; Teaching Note 411-073), June 2015.
- Chris Marquis and Zoe Yang. "Green Mountain Coffee Roasters, Inc.: Taking on Seasonal Starvation in Latin America." (Harvard Business School Case 414065), April 2014.
- Chris Marquis, David Plumb, Tom Blathwayt, and Zoe Yang. "Anglo American: Implementing a 'Social Way' for Global Mining." (Harvard Business School Case 414063), January 2014.
- Chris Marquis. "Creating Shared Value: Entrepreneurial and Corporate Models for a Changing Economy." (Harvard Business School Course Overview Note 413113), March 2013.
- Chris Marquis and Juan Almadox. "First Green Bank: Bringing Bloom to Desert Landscapes." (Harvard Business School Case 413073), March 2013.
- Chris Marquis and Laura Velez Villa. "Warby Parker: Vision of a 'Good' Fashion Brand." (Harvard Business School Case 413051; Teaching Note 416-001), July 2012. (Revised September 2012.)
- Chris Marquis and Laura Velez Villa. "Managing Stakeholders with Corporate Social Responsibility." (Harvard Business School Course Overview Note 412121), May 2012.
- Chris Marquis and Rwitwika Bhattacharya. "Global Business School Network." (Harvard Business School Case 412044)
- Chris Marquis and John Almadox. "New Resource Bank: In Pursuit of Green." (Harvard Business School Case N1-412060)
- Chris Marquis, Marley C. Kornreich and Bobbi Thomason. "Social Innovation at salesforce.com." (Harvard Business School Case 412049 Teaching Note 416-002)
- Chris Marquis, Donna Khalife, and Bobbi Thomason. "sweetriot 2.0." (Harvard Business School Case 412007)
- Chris Marquis and Bobbi Thomason. "Commerce and Society: The Strategic Value of Corporate Social Responsibility." (Harvard Business School Course Overview 411075)
- Chris Marquis, Andrew David Klaber, and Bobbi Thomason. "B Lab: Building a New Sector of the Economy." (Harvard Business School Case 411047; Teaching Note 411073)
- Lakshmi Ramarajan, Chris Marquis, and Bobbi Thomason. "Public Architecture." (Harvard Business School Case 411030)

- Chris Marquis, Daniel Beunza, Fabrizio Ferraro, and Bobbi Thomason. “Driving Sustainability at Bloomberg L.P.” (Harvard Business School Case 411025; Teaching Note 411068)
- Chris Marquis, Bobbi Thomason, and Jennifer Tydlaska. “Corporate Social Responsibility and Employee Engagement” (Harvard Business School Course Overview Note 410138)
- Chris Marquis, Pooja Mehta Shah, Amanda Elizabeth Tolleson, and Bobbi Thomason. “The Dannon Company: Marketing and Corporate Social Responsibility (A) and (B).” (Harvard Business School Cases 410121 and 412047; Teaching Note 412048)
- Chris Marquis. “Burt’s Bees: Balancing Growth and Sustainability.” (Harvard Business School Multimedia Case 410704; Teaching Note 410100)
- Chris Marquis. “Western Union: Our World, Our Family®.” (Harvard Business School Case 410050; Teaching Note 410080)
- Chris Marquis, Marya Besharov, and Bobbi Thomason. “Whole Foods: Balancing Social Mission and Growth.” (Harvard Business School Case 410023; Teaching Note 411057)
- Chris Marquis, Kwang Y. Ryu, Philip H. Mirvis, and Bobbi Thomason. “SK Telecom: Pursuing Happiness through Corporate Social Responsibility.” (Harvard Business School Case 410042)
- Chris Marquis, V. Kasturi Rangan, and Cathy Ross. “Goldman Sachs: The 10,000 Women Initiative.” (Harvard Business School Case 509042; Teaching Note 410119)
- Chris Marquis and Rosabeth Moss Kanter. “IBM: The Corporate Service Corps.” (Harvard Business School Case 409106; Teaching Note 410131)
- Chris Marquis and V. Kasturi Rangan and Alison Comings. “PNC Financial: Grow Up Great.” (Harvard Business School Case 409108; Teaching Note 410120)
- Chris Marquis and V. Kasturi Rangan and Alison Comings. “Shaklee Corporation: Corporate Social Responsibility.” (Harvard Business School Case 509031; Teaching Note 509061)
- Joshua D. Margolis, Chris Marquis, and Laura Winig. “VeeV on the Rocks?” (Harvard Business School Case 410006; Teaching Note 411076)
- Robert S. Kaplan, Chris Marquis and Brent Kazan. “The Miami Project to Cure Paralysis.” (Harvard Business School Case 408003; Teaching Note 410005)

### **Leadership and Organizational Behavior**

- Chris Marquis, Vinay Ganti, Kevin Smith, and Doug Guthrie. “Mekong Capital: Building a Culture of Leadership in Vietnam.” (Harvard Business School Case 411023)
- Chris Marquis, Douglas Guthrie and Yannis Katsarakis. “Gianna Angelopoulos-Daskalaki and the 2004 Athens Olympic Games (A) and (B)” (Harvard Business School Cases 407050 and 407051; Teaching Note 408081)
- Nitin Nohria, Chris Marquis and Alison Comings. “Congruence Model On-line Tutorial.” (Harvard Business School Courseware 407703; Teaching Note 407131)
- Chris Marquis, Doug Guthrie, Richard Arum, and Abby Larson. “Joel Klein and Leadership in the NYC Public Schools.” (Harvard Business School Case 407065)
- Boris Groysberg, Chris Marquis and Ayesha Kanji. “Tim Keller at Katzenbach Partners LLC (A), (B) and (C).” (Harvard Business School Cases 407037, 407038, and 407039; Teaching Note 407085)

### **DISSERTATION COMMITTEES**

#### **Defended (initial job placement)**

- John Almondoz (chair), Harvard (PhD in Organizational Behavior), Assistant Professor, IESE
- Yanhua Bird (member), Harvard (PhD in Organizational Behavior), Assistant Professor, Boston University
- Vince Feng (member), Harvard (PhD in Sociology)
- Ji-wook Jong (member), Harvard (PhD in Sociology), Assistant Professor, National University Singapore
- Barbara Zepp Larson (member), Harvard (DBA in Management), Lecturer (UK equivalent of Assistant Professor), University of Sussex
- Matthew Lee (co-chair), Harvard (DBA in Management), Assistant Professor, INSEAD
- Qi Li (chair), Cornell (PhD in Management), Assistant Professor, The Chinese Hong Kong, Shenzhen
- Lisha Liu (member), Cornell (PhD in Sociology), Assistant Professor, Shanghai Jiaotong University
- Xuege (Cathy) Lu (co-chair), Cornell (PhD in Management), Assistant Professor, University of Minnesota

- Bjoern Mitzinneck (member), Cornell (PhD in Management), Assistant Professor, University of Groningen, Netherlands
- Can Ouyang (member), Cornell (PhD in Human Resource Management), Assistant Professor, Shanghai Jiaotong University
- Cuili Qian (member), HKUST (PhD in Management), Assistant Professor, City University, Hong Kong
- Kunyuan Qiao (chair), Cornell (PhD in Management), Assistant Professor, Northeastern University
- Andras Tilcsik (member), Harvard (PhD in Organizational Behavior), Assistant Professor, University of Toronto
- Zhenzhen Xie (member), HKUST (PhD in Management), Assistant Professor, Tsinghua University
- Lori Yue (member), Columbia (PhD in Management), Assistant Professor, University of Southern California
- Danqing Wang (member), INSEAD (PhD in Management), Assistant Professor, University of Hong Kong
- Ruxi Wang (member), Erasmus University Rotterdam (PhD in Strategic Management), Assistant Professor, Renmin University Business School
- Hongyu Zhang (member), Peking University (PhD in Management), Assistant Professor, Central University of Finance and Economics
- Shuo Zhang (member), Cornell (PhD in Sociology), Assistant Professor, Central University of Finance and Economics

#### In Process

- Shiang-Wan Chin (member), Cornell (PhD in Systems Engineering)

## PRESENTATIONS & CONFERENCES

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#### INVITED ACADEMIC PRESENTATIONS (Selected)

- 2023: National Chengchi University, Taiwan, Monash University, Kuala Lumpur Campus; University of Cambridge Indo-Pacific Roundtable; University of Cambridge Centre for Geopolitics
- 2022: HEC (Society & Organizations Institute), HEC (Strategy and Business Policy) Georgetown McDonough School of Business (SEPP), Wharton (Management Department), Michigan (ICOS)
- 2021: INSEAD (Strategy and Entrepreneurial Management), Rotterdam School of Management (Organisation and Personnel Management)
- 2020: Bocconi University (Management and Technology), Cambridge University Judge Business School (Strategy and International Business)
- 2019: University of Illinois (Business Administration); University of Texas-Dallas (Strategy and International Management); University of Washington (Strategic Management)
- 2018: Brigham Young University (Management); Carnegie Mellon University (Entrepreneurship, Innovation and Technology); Chinese University of Hong Kong – Shenzhen (Management and Economics); City University of Hong Kong (Management); Drexel University (Management); London Business School (Strategy and Entrepreneurship); MIT-Harvard Economic Sociology Seminar; University of North Carolina (Strategy and Entrepreneurship); University of Texas-Dallas (Organizations, Strategy and International Management)
- 2017: Boston University (Strategy and Innovation); Harvard Kennedy School (Social Innovation and Change Initiative); Shanghai Jiaotong University (Management); Renmin University (Management)
- 2016: Seoul National University (Strategy and International Management), Stanford University (Organizational Behavior), Syracuse University (Entrepreneurship and Emerging Enterprises), The George Washington University (Strategic Management and Public Policy), University of Minnesota (Strategic Management and Entrepreneurship), University of Pittsburgh (Organizations and Entrepreneurship)
- 2015: ESSEC Asia Pacific (Management); Hong Kong Polytechnic University (Management and Marketing), Hong Kong University of Science and Technology (Management and Organizations), Nottingham University Business School China, Peking University (Organization and Strategic Management), Tilburg University (Management); Tsinghua University (Leadership and Organization Management), University of Michigan (Erb Institute and Strategy)
- 2014: Beijing Jiaotong University; Duquesne University (Sustainability Program); National University of Singapore (Strategy and Policy); Rotterdam School of Management (Organisation and Personnel Management); Shanghai Jiaotong University (Management); Singapore Management University (Strategic Management); University of

Michigan (Management and Organizations); Xi'an-Jiaotong Liverpool University Suzhou (International Business); University of Hong Kong (Strategy)

- 2013: Cornell University Johnson School (Management and Organizations), Hong Kong University of Science and Technology (Management and Organizations), INSEAD (Strategy), Stanford University (Center on Philanthropy and Civil Society), Shanghai Jiaotong University (Management), Tuck School of Business at Dartmouth (Strategy and Management) University of California, Irvine (Long U.S.-China Institute for Business and Law), University of California, Irvine (Organization and Management), University of Pennsylvania Wharton School (Management)
- 2012: Fudan University (Business Administration), Harvard University (China Project, SEAS), Indiana University (Center on Philanthropy), MIT - Harvard Economic Sociology, Nottingham University Business School China, Shanghai Jiaotong University (Management), University of Michigan (Erb Institute), University of Michigan (ICOS), University of Southern California (Strategic Management), Zhejiang University (Global Entrepreneurship Research Center)
- 2011: Chinese University of Hong Kong (Management), Harvard University Gender Workshop, Hong Kong Polytechnic University (Management and Marketing), Hong Kong University of Science and Technology (Management and Organizations), Indiana University (Governance and Management), McGill University (Organizational Behaviour), Peking University (Organization Management), University of Illinois (Organizational Behavior), University of Toronto (Strategy)
- 2010: Center for the Sociology of Organizations – Paris, France; HEC Paris (Strategy), Harvard Business School International Seminar, Hong Kong Polytechnic University (Management and Marketing), Hong Kong University of Science and Technology (Management and Organizations), Peking University (Organization Management), The University of Macau (Management and Marketing), University of Science & Technology of China (School of Management and Economics)
- 2009: Boston College (Winston Center for Leadership and Ethics), Cornell University (Johnson School and ILR School), INSEAD (Entrepreneurial Management - Singapore), Hong Kong University of Science and Technology (Management and Organizations)
- 2008: Harvard Business School (Business History); University of Maryland (Management and Organization)
- 2007: University of Alberta (Strategic Management and Organization), Dartmouth College, University of Michigan (Economic Sociology), University of Texas at Austin (Management), University of Virginia (Leadership & Organizational Behavior)
- 2006: MIT - Harvard Economic Sociology, London Business School (Organisational Behaviour), Oxford University (Strategy and Organisation), INSEAD (Entrepreneurial Management)
- 2005: Columbia University (Management), Harvard University (Organizational Behavior), Harvard University (Strategy), Massachusetts Institute of Technology (Behavioral Policy Science), Northwestern University (Management and Organization), Stanford University (Organizational Behavior), University of California, Berkeley (Organizational Behavior), University of Texas at Austin (Management)
- 2004: Cornell University (Sociology), Emory University (Management), University of Chicago (Organizations and Markets)
- 2003: Bradley University (History), University of Michigan (Sociology)

### **PUBLIC LECTURES/INDUSTRY PRESENTATIONS (Selected)**

- 2023: Fortune CEO Initiative Conference, LG Life's Good Award Conference, International Forum on Business Ethics and ESG, National Cheng Chi University, Taiwan, The European House – Ambrosetti, SOAS China Institute, China Centre, Oxford University, Brookings Institute, Northeastern University, Center for Emerging Markets, Council on Foreign Relations, China Institute, Yale China Beijing, Intelligence Forums, Chatham House, UK; YPO East-West Explorer; University of Manchester, China Centre; Jesus College China Forum; Sanofi Consumer North America
- 2022: Skoll Center for Social Entrepreneurship, Oxford University; Contemporary Club, Chicago; YPO SE Asia Lestari Chapter; Financial Executives International; China Internet Public Welfare Summit; B Corp Champions Retreat, World Innovators Meet, Tsinghua Management Review, China Forum, Jesus College Cambridge, US Department of Commerce, China Business Information Center, Asia Society
- 2021: LSE Marshall Institute; AUC Gerhart Center; MaGIC
- 2020: BMO Financial Past/Future of the Corporation, The Conference Board; Humanity Rising; RADARSERES Summit; National Association of Corporate Directors; J&J Global Community Impact Event; SOCAPGlobal

- 2019: Southern Weekly 11th Annual Conference on China Corporate Social Responsibility; Shanghai Charity Forum; SYSBS International Symposium on Frontier Management Research; UESTC Social Responsibility and Sustainability Forum
- 2018: Foreign Experts Consultation Symposium, Government of China; Stanford PACS in Beijing; Shenzhen University; Global Chinese Philanthropy Initiative (GCPI): Tsinghua Forum; SYSBS International Symposium on Frontier Management Research
- 2017: Beijing Forum; Peking University Guanghua School of Management; Social Enterprise Summit (Keynote)
- 2016: Peking University Guanghua-Yintai Center for Philanthropy and Social Impact
- 2015: *Harvard Business Review* China Women in Leadership Forum (Keynote); Chinese Financial Executive Institute Quarterly Public Lecture
- 2014: Beijing Foreign Studies University, *Financial Times* China Global Forum, Learning Mode China – Century Success Event (Keynote), Zhejiang's Young Marshall Club (Keynote)
- 2013: Shanghai Forum, *Harvard Business Review* China Launch Event (Beijing and Shanghai)
- 2012: American Chamber of Commerce – China (Beijing), American Chamber of Commerce - Shanghai, Harvard University Shanghai Center, North China Power University

## ACADEMY OF MANAGEMENT MEETINGS

### Symposia and Professional Development Workshops Organized

- 2023– Symposium (with Kunyuan Qiao) “How History Affects Management and Organizations”
- 2022 – Symposium (with Kunyuan Qiao) “How History Affects Management and Organizations”
- 2022 – Symposium (with Qian Wang) “Institutions and Innovation in China”
- 2021 – Symposium (with Kunyuan Qiao) “Leveraging History to Understand Management Phenomena”
- 2019 – Symposium (with Kunyuan Qiao) “Advancing New Understandings of History in the Management Field”
- 2019 – Professional Development Workshop (with Qi Li), ‘Strategic Corporate Social Responsibility in Emerging Economics’
- 2018 – Symposium (with Kunyuan Qiao) “Individual Imprinting: Life History Matters”
- 2018 – Symposium (with Xuege (Cathy) “Crossing the Private-Public Boundary: Why, What and How?”
- 2017 – Symposium (with Xuege (Cathy) Lu and Glen Dowell) ““Risky Business: Institutional Strategies in Unpredictable Environments”
- 2015 – Professional Development Workshop (with Simone Ferriani, Matthias Kipping, Claus Rerup Georg Schreyögg, Joerg Sydow and Behlul Usdiken) “History Matters! Path Dependence, Imprinting, Process”
- 2015 – Symposium (with Ying Zhang) “Advancing Theory on the Globalization of Chinese Firms” (Nominated for Emerald Best International Symposium Award).
- 2014 – OMT Junior Faculty Consortium (with Brayden King).
- 2014 – Symposium (with András Tilcsik) “Organizations and Institutional Complexity.”
- 2014 – Symposium (with András Tilcsik) “Imprinting in Organizations: New Perspectives for Unpacking the Past.”
- 2013 – OMT Junior Faculty Consortium (with Martine Haas).
- 2013 – All-Academy Symposium (with Danqing Wang) “Corporate Social Responsibility and Stakeholder Management in Emerging Markets.”
- 2011 – Showcase Symposium (with Pino Audia) “The Local and The Global in Community Dynamics.”
- 2011 – Symposium (with Matt Lee) “Inside the Corporate Social Responsibility (CSR) black box: Antecedents and limits of CSR.”
- 2011 – Professional Development Workshop for OMT Division (with Andy Hoffman) “Theory and the Practice of Management in China.”
- 2010 – All-Academy Symposium (with Cuili Qian and Na Ni) “When is it Strategic to be Compassionate? The Evolution of Corporate Social Responsibility Practices in China.”
- 2010 – Professional Development Workshop for OMT Division (with Andy Hoffman) “Blogging as a Tool for Bridging Research, Teaching and Practice.”
- 2008 – Showcase Symposium (with Royston Greenwood) “The Field is Dead, Long Live the Community! Why and How Understanding Community Influences is Essential for Institutional Theory.”

- 2006 – All Academy Symposium “How Organizations Shape the Rules: Development of US Financial Regulations in Historical Perspective.”
- 2004 – Symposium (with Gerald F. Davis) “Law and Organization: How States and Organizations Shape Each Other.” Selected as a Showcase Symposium.
- 2003 – Showcase Symposium (with Gerald F. Davis) “Corporations and Community Connections: When are Business Elites Good for Civic Health?”

#### **Papers Presented, Panel Presentations, Discussant Role**

- 2023 – “Government Priorities and Firm Innovation in China (with Qian Wang)
- 2022 – Panelist for “Research Frontiers in Nonmarket Strategy”
- 2022 – Panelist for “Towards a More Nuanced Understanding of Communities: Definitions, Debates, and Dark Sides”
- 2022 – “How the Past Matters for Organizations” (with Kunyuan Qiao) “Best Paper” Designation, OMT Division
- 2022 – “Government Priorities and Firm Innovation in China (with Qian Wang)
- 2021 – Panelist, Publishing Responsible Research in Management: Celebrating Accomplishments and Passing the Torch
- 2021 – “Producing Masks Amid COVID-19? How A Pandemic Imprint Affects Firm's New Medical Production” (With Yang Liu). Runner up for the Best Paper on Environmental and Social Practices, 2021 OMT Division
- 2019 – Panelist for OMT Junior Faculty Workshop
- 2019 – Panelist for OMT's "Doing Organizational Research Around the World"
- 2019 – Discussant for “Putting B Corporations Under the Microscope: Exemplars and Future Directions”
- 2019 – “Internal Logics of Control: A Study of the Relationship between Internal and External CSR in China” (with Kunyuan Qiao)
- 2018 – “What Does Not Starve You Makes You More Economical: Resource Scarcity and Imprinting of Private Entrepreneurs in China” (with Kunyuan Qiao)
- Editors Panel for “Strategy, Climate Change and the Energy Transition”
- 2017 – “Communist Ideological Imprinting and Internationalization: A Study of Chinese Entrepreneurs” (with Kunyuan Qiao).
- 2017 – “Research Frontiers in Nonmarket Strategy”.
- 2017 – “Theorizing with History: Lessons from the Study of Institutional Logics and Imprinting”.
- 2017 – “Game of Transparency: Regulating Hydraulic Fracturing in U.S Through Corporate Disclosure” (with Xuege (Cathy) Lu and Glen Dowell)
- 2016 – “Institutional Change in Authoritarian Setting: A Study of Environmental Violations in China”(with Yanhua Zhou).
- 2016 – “Political Position and Firms’ Defiance Response to Governmental Mandates” (with Runtian Jing and Yuhuan Liu).
- 2016 – “Creating a More Reliable and Cumulative Knowledge Ecosystem”
- 2015 – “Is the China Model Sustainable?”
- 2015 – “Research on Emerging Markets and the Future of Organization Theory”
- 2015 – “Gender Diversity, Governance and Corporate Social Responsibility”
- 2015 – “Theorizing History: The Imprinting Argument”
- 2015 – “Emerging Markets as Fertile Research Grounds for the Researcher and for Theory”
- 2015 – “Social Capital and Catching Up Capabilities: Huawei’s Experience” (with Ying Zhang).
- 2014 – “Governmental Attention and Environmental Enforcement in China” (with Yanhua Zhou).
- 2014 – “Speaking of Corporate Social Responsibility” (with Hao Liang, Luc Renneboog, and Sunny Li Sun).
- 2013 – “Putting New Wine in Old Bottles: State-Mediated Globalization Processes and the Adoption of Sustainability Reporting in China” (with Lynn Yin; Dongning Yang).
- 2013 – “Institutional Contingencies, Organizational Status, and New Name Adoption” (with Zhi Huang).
- 2012 – “Competition-Commitment-Compliance: Motivation of Philanthropic Donation in Chinese Firms” (with Jianjun Zhang and Xiaowei Luo).

- 2012 – “Institutional Complexity and Community Performance: Evidence from Organized Philanthropy in the U.S., 1939-1997” (with Matt Lee).
- 2012 – “The Impact of Women Top Managers and Directors on Corporate Environmental Performance” (with Amanda Kimball and Donald Palmer).
- 2011 – “Punctuated Generosity: Events, Communities and Corporate Philanthropy in the US, 1980-2006” (with Andras Tilcsik).
- 2011 – “Is CSR Reporting Symbolic or Substantive? Evidence From China” (with Cuili Qian).
- 2011 – “Does Involvement in CSR Affect Employee Retention?” (with Daniel Elfenbein).
- 2011 – “Judicial and Legislative Action in the Legitimation of Corporate Social Responsibility in the U.S.” (with Matt Lee).
- 2010 – “How Institutional Transitions are Shaped: An Examination of Changes in Chinese Firms’ Corporate Social Responsibility Following the Sichuan Earthquake” (with Cuili Qian and Na Ni).
- 2010 – “Who is Governing Whom? Executives, Governance and the Structure of Generosity in Large US Firms.” (with Matt Lee).
- 2010 – “Stakeholder Legitimacy and Corporate Social Responsibility Reporting in China” (with Cuili Qian).
- 2009 – “Corporate Sustainability Reporting: Strategic and Institutional Perspectives” (with Michael Toffel).
- 2008 – “Community Fields and the Growth and Decline of Nonprofits in 100 American Cities, 1987-2002.” (with Gerald F. Davis and Mary Ann Glynn).
- 2008 – “Competing Logics and Entrepreneurial Success in the US Banking Industry.”
- 2008 – Panelist on All Academy Symposium “The Questions We Don’t Ask: Limitations and Lessons from Institutional Theory.”
- 2008 – Panelist on OMT Theme Session “The Questions OMT Asks: What Has Been Neglected?”
- 2007 – “State Activism and the Hidden Incentives behind Bank Acquisitions” (with Douglas Guthrie).
- 2006 – “Historical Environments and the Creation of US Banking Regulations.”
- 2006 – “Historical Environments, Coordination and Consolidation in the US Banking Industry, 1896-2001.” Included in *Best Paper Proceedings of the 2006 Academy of Management Meeting*. Winner of Louis R. Pondy Award for best paper based on a dissertation and William H. Newman Award for best paper based on a dissertation.
- 2006 – “How Local Businesses Influence Non-profit Growth in US Communities.”
- 2004 – “State Regulation and Industry Consolidation in the US Banking Industry, 1896-2001.”
- 2003 – “Conspiring Alone: The Changing Social Capital of Community Elites.” (with Gerald F. Davis)
- 2003 – “When Good Names Go Bad: Organizational Illegitimacy, and the Dot-com Collapse.”
- 2002 – “The Effect of Social Structure on Community Social Capital.”
- 2002 – “Egocentric, Sociocentric, or Dyadic? Identifying the Appropriate Level of Analysis in the Study of Organizational Networks.” (with Mark Mizruchi).
- 2001 – “Are U.S. Stock Markets a Pathway to Global Governance?” (with Gerald F. Davis).

## INTERNATIONAL ASSOCIATION OF CHINESE MANAGEMENT RESEARCH (IACMR) MEETINGS

- 2021 (Xi'an, China, June 16-20; virtual)
  - Keynote Panel Presenter “Path Dependence and Firm Strategy”
  - Organized symposium (with Ming Jia) “CSR and the Internationalization of Chinese Firms.” Presented “Why Overseas CSR? A Study of Chinese Multinational Firms in Belt and Road Countries” (with Kunyuan Qiao and Yichen Wang).
- 2018 (Wuhan, China, June 15-19)
  - Program Chair - Macro Track (English)
  - Moderator, Keynote Panel “Responsible Research for a Better World”
  - Co-chair (PDW) “How to Engage Responsible Research for a Better World”
- 2016 (Hangzhou, China, June 15-19)
  - Organized PDW “Getting Published in Top Tier Journals: Guidance and Insights from Officers of the AOM OMT Division.” (with Bilian Ni Sullivan).

- Presented “Factors that Affect Governmental Attention on Firms: A Study of Environmental Violations in China” (with Yanhua Zhou).
- Presented “Political Position and Firms’ Defiance Response to Governmental Mandates” (with Runtian Jing and Yuhuan Liu).
- 2014 (Beijing, China, June 18-22)
  - Organized PDW “Getting Published in Top Tier Journals: Guidance and Insights from Officers of the AOM OMT Division.”
  - Organized symposium (with Han Yi and Enjing Zheng) “Organizational Imprinting and Institutional Changes in China.” Presented “Early Internationalization and Contemporary Foreign Expansion in China” (with Jiangling Yi).
- 2012 (Hong Kong, China, June 20-24)
  - Keynote Presentation “The State and Corporate Social Responsibility in China.”
  - Presented “Putting new wine in old bottles: State-mediated globalization processes and the adoption of sustainability reporting by Chinese companies” (with Lynn Yin and Dongning Yang).
- 2010 (Shanghai, China, June 16-20)
  - Organized Symposium (with Cuili Qian and Na Ni) “Corporate Social Responsibility Practices in China.”
  - Presented “Corporate Social Responsibility Reporting in China” (with Cuili Qian).

## OTHER ACADEMIC CONFERENCE PRESENTATIONS

- 2020 – European Group for Organizational Studies (Hamburg), July 2-4. Sub-plenary panelist: Theorizing Historical Processes in and across Organizations; Sub-theme co-organizer (with Georg Schreyögg, and Jörg Sydow): Intricacies of Organizational Stability and Change: Historical Imprints, Path Dependencies and Beyond (cancelled due to COVID-19)
- 2018 – 6th Economic Sociology Conference (UNC-Duke), October 18-19, 2018. “Allying with Thy Enemy? A Study of the Communist Ideological Imprint of Chinese Entrepreneurs” (with Kunyuan Qiao)
- 2015 – Rice University Strategy Symposium on Emerging Markets, April 23-24, 2015. “Institutional Strategies in Emerging Markets” (with Mia Raynard).
- 2014 – Sixth Annual ARCS Research Conference. “Governmental Attention and Environmental Enforcement in China” (with Yanhua Zhou).
- 2013 – Suzhou-Silicon Valley-Beijing International Innovation Conference. Keynote Presentation “Social Entrepreneurship and Creating System-Level Change: Research Opportunities” Suzhou, China, July 9.
- 2013 – Nankai University Entrepreneurship Conference. Keynote Presentation “Social Entrepreneurship and Creating System-Level Change: Research Opportunities” Tianjin, China, June 21.
- 2012 – American Sociological Association Meeting. “Organizational Responses to Institutional Pluralism: Field Strength, Institutional Uniqueness and Corporate Philanthropy” (with András Tilcsik). Denver, CO.
- 2010 – Corporate Social Responsibility in a Globalizing World. “The Globalization of Corporate Environmental Disclosure: Accountability or Greenwashing?” University of Michigan, Ann Arbor, Michigan, September 9-11.
- 2010 – Eastern Sociological Society Conference. “Banking on Tradition: Policies to Promote of Relationship-Based Financial Services.” Boston, MA, May 18-21.
- 2008 – American Sociological Association Meeting. “Is History always Destiny? The Contingent Legacy of Founding Environments in the US Banking Industry.” Boston, MA.
- 2008 – MIT Sloan BPS Mini-Conference. Discussant. Cambridge, Massachusetts, May 9-10.
- 2008 – Business History Conference. “The Dynamics of Organizational Imprinting: Capabilities and Acquisitions in US Banking.” Sacramento, CA.
- 2008 – BYU-University of Utah Winter Strategy Conference “Is History always Destiny? The Contingent Legacy of Founding Environments in the US Banking Industry.” Sundance, Utah, February 28-March 1.
- 2007 – American Sociological Association Meeting. “External Environments and US Bank Expansion in the Twentieth Century” (with Zhi Huang). New York, NY.
- 2007 – Business History Conference. “Historical Conflict and New Bank Foundings in US Communities in the 1990s.” Cleveland, OH.
- 2006 – American Sociological Association Meeting. “State Activism and the Hidden Incentives behind Bank Mergers, 1990-2000” (with Douglas Guthrie). Montreal, QC.

- 2006 – MIT Sloan BPS Mini-Conference. Discussant. Cambridge, Massachusetts, May 19-20.
- 2006 – Business History Conference. “Historical Environments and the Debate over U.S. Banking Regulations, 1896-1978.” Toronto, ON.
- 2005 – American Sociological Association Meeting. “Does Network Structure Affect the Size of the Network Effect? The Role of Density in the Network Autocorrelation Model.” (with Mark S. Mizruchi and Eric J. Newman). Philadelphia, PA.
- 2004 – American Sociological Association Meeting. “The Conditional Nature of Embeddedness: A Study of Borrowing by Large U.S. Firms, 1973-1994” (with Mark S. Mizruchi and Linda Brewster Stearns). San Francisco, CA.
- 2003 – Organization Science and National Science Foundation Conference: Frontiers of Organization Science. “Prospects for theory about organizations in the early 21st century: exemplars from new institutional theory.” Laguna Beach, California, November 7-9.

### ACADEMIC CONFERENCES ORGANIZED

- Competing in the Digital World, Fifth Annual Global Strategy and Emerging Markets (GSEM) Conference, November 7-8, 2020 (Cornell S.C. Johnson College of Business (co-organized with Lourdes Casanova, Mike Peng, and Ravi Ramamurti)
- Corporate Social Responsibility in China, June 16, 2020 (Northwest Polytechnic University (co-organized with Ming Jia) (delayed because of COVID-19)
- China Philanthropy Conference, Harvard Shanghai Center, October 22-23, 2018 (co-organized with Tony Saich and Edward Cunningham)
- Research Frontiers in Corporate Social Responsibility in China, 2018, June 18-19; University of Electronic Sciences and Technology of China.
- Rethinking Cross-sector Social Innovation, April 6-7, 2018, Harvard Kennedy School (co-organized with Julie Battilana, Johanna Mair and Christian Seelos)
- Connecting Rigor and Relevance in Institutional Analysis, Harvard Business School, June 3-4, 2013 (co-organized with Julie Battilana and Shon Hiatt)
- Corporate Sustainability and Social Responsibility in China, May 24-25, 2013, Harvard Shanghai Center and Shanghai Jiaotong University (co-organized with Susan Jackson and Yuan Li)

## PROFESSIONAL ACTIVITIES

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### BOARDS

- Advisory Board member, Sanofi Consumer Healthcare, 2023-present
- Advisory Board member, The China Project (formerly SupChina), 2019-2023
- ESSEC Philanthropy Chair’s Scientific Committee, 2016-present
- Academic Advisory Council, The Satell Institute: A Think Tank for Corporate Social Responsibility, 2016-2018
- Board of Directors, Peking University-China Yintai Philanthropic Management Center, 2015-2017
- Advisory Council, PNC Financial Grow Up Great; Pittsburgh, PA, 2009-2015
- Board of Directors, Leader Bank; Arlington, MA, 2010-2013
- American Chamber of Commerce – Shanghai, CSR Award Panel, Shanghai, China, 2011, 2012

### ACADEMIC SERVICE - NATIONAL

- Representative at Large, Americas, International Association for Chinese Management Research (IACMR), 2015-2018
- Award Committee, Academy of Management Career Awards, 2014
- Representative at Large, Organization and Management Theory Division, Academy of Management, 2012-2015
- Chair, Award Committee, *Administrative Science Quarterly* Award for Scholarly Contribution, 2012
- Award Committee, Wiley-Blackwell *Management and Organization Review* Young Scholar Award, 2012
- Award Committee, Organization and Management Theory Division, Best Published Paper, Academy of Management, 2011, 2012
- Award Committee, *Administrative Science Quarterly* Award for Scholarly Contribution, 2011

- Practice Committee, Organization and Management Theory Division, Academy of Management, 2009-2012
- Panelist at the 2009 Organization and Management Theory Division Doctoral Consortium at the Academy of Management Conference
- Teaching Committee, Organization and Management Theory Division, Academy of Management, 2007-2009
- Program Committee, Organizations, Occupations and Work Section, American Sociological Association, 2007
- Burt Award Committee, Economic Sociology Section, American Sociological Association, 2006
- Council Member, Organizations, Occupations and Work Section, American Sociological Association, 2003-2004
- Thompson Award Committee, Organizations, Occupations and Work Section, American Sociological Association, 2004

### **ACADEMIC SERVICE – CAMBRIDGE**

- University China Strategy Working Group, 2023-present
- Judge Business School
  - Programme Director, First Certificate in Business (on-line certificate program in general business principles offered by Cambridge Assessment), 2023-present
  - Programme Director, Regenerative Business Open Enrollment Executive Education Program, 2022-present
  - Academic Programme Director, Boston Consulting Group, Climate and Sustainability Stewardship Program, 2022-2024
  - Co-Academic Programme Director, ESG Leadership Open Enrollment Executive Education Program, 2022-present
- Jesus College
  - Director of Studies in Management Studies, 2024-present
  - Environment Committee, 2022-present (chair, 2023-present)
  - Director of Studies in Human, Social and Political Sciences Part II, 2023/2024 Academic Year
  - China Forum Committee, 2023-2024 (committee disbanded)

### **ACADEMIC SERVICE – CORNELL**

- Co-founder and co-director, Emerging Markets Theme, Johnson College of Business, 2019-2021
- Cornell China Center Faculty Advisory Committee, 2016-2021
- PhD Program Coordinator, Management and Organizations Department, 2018-2021

### **ACADEMIC SERVICE – HARVARD**

- Research Director, Social Innovation and Change Initiative, Harvard Kennedy School, 2017-2018
- Member, Subcommittee on the Degree of Doctor of Philosophy in Organizational Behavior, 2012-2013
- Member, Organizational Behavior Doctoral Policy and Admissions Committee, 2011-2014
- Faculty Advisor, Work, Organization and Markets Seminar (doctoral student seminar), 2009-2014
- Faculty Advisor, Harvard College Social Responsibility Trust, 2010-2014
- Seminar Coordinator, Organizational Behavior Unit, Harvard Business School, 2006-2009
- Seminar Co-coordinator, MIT-Harvard Economic Sociology Seminar, 2006-2007; 2010-2011

### **EDITORIAL**

- Associate Editor: *Administrative Science Quarterly*, 2014-2020.
- Senior Editor: *Organization Science*, 2013-2014.
- Senior Editor: *Management and Organization Review*, 2012-2014.
- Editorial Boards: *Administrative Science Quarterly*, 2007-2014; *Academy of Management Review*, 2008-2013; *Strategic Organization*, 2008-2013; *Organization Science*, 2009-2013.
- Occasional Reviewer (Journal/Conference): *Academy of Management Journal*, *Academy of Management Meetings* (2002-2012), *American Journal of Sociology*, *American Sociological Review*, *Business and Society*, *China Quarterly*, *European Management Review*, *Industrial and Corporate Change*, *International Journal of Sociology*, *Journal of Business Venturing*, *Journal of International Business Studies*, *Management and Organization Review*, *Organization Studies*, *Research in Political Sociology*, *Research Policy*, *Review of Economics and Statistics*, *Social Forces*, *Social Science Research*, *Strategic Management Journal*.

- Occasional Reviewer (Press): Harvard Business School Press, Harvard University Press, Princeton University Press, University of Chicago Press.